

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
29 January 2004 (29.01.2004)

PCT

(10) International Publication Number  
**WO 2004/009083 A1**

(51) International Patent Classification<sup>7</sup>: **A61K 31/403**,  
A61P 35/00, 9/00

Bresso (IT). MENTA, Ernesto [IT/IT]; Via Ariosto, 23,  
I-20091 Bresso (IT).

(21) International Application Number:  
PCT/EP2003/007963

(74) Agents: MINOJA, Fabrizio et al.; Bianchetti Bracco Mi-  
noja S.r.l., Via Rossini, 8, I-20122 Milano (IT).

(22) International Filing Date: 22 July 2003 (22.07.2003)

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC,  
SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA,  
UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
MI02A001620 23 July 2002 (23.07.2002) IT

(71) Applicants (*for all designated States except US*): NOVUS-  
PHARMA S.P.A. [IT/IT]; Via Ariosto, 23, I-20091 Bresso  
(IT). ISTITUTO NAZIONALE PER LO STUDIO  
E LA CURA DEI TUMORI [IT/IT]; Via Venezian, 1,  
I-20133 Milano (IT).

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,  
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,  
SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,  
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): LANZI,  
Cinzia [IT/IT]; Via Ariosto, 23, I-20091 Bresso (IT).  
CASSINELLI, Giuliana [IT/IT]; Via Ariosto, 23,  
I-20091 Bresso (IT). CUCCURU, Giuditta [IT/IT]; Via  
Ariosto, 23, I-20091 Bresso (IT). PIEROTTI, Marco,  
Alessandro [IT/IT]; Via Ariosto, 23, I-20091 Bresso  
(IT). ZUNINO, Franco [IT/IT]; Via Ariosto, 23, I-20091

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: ANTITUMOR COMPOUND AND THERAPEUTIC USES THEREOF

(57) Abstract: The use of an arylidene 2-indolinone derivative for treating tumors involving Met, PDGF-R, FGF-RI, FGF-R3 and Kit tyrosine kinases, or the oncoproteins of Ret family is disclosed. The invention also provides pharmaceutical compositions comprising said arylidene 2-indolinone derivative as well as a kit comprising, in separate containers, said derivative and an anti-cancer or anti-tumor agent.

WO 2004/009083 A1

**ANTITUMOR COMPOUND AND THERAPEUTIC USES THEREOF**

DT01 Rec'd PCT/PTC 21 JAN 2005

The present invention regards the use of the compound (E)-1,3-dihydro-5,6-dimethoxy-3-[(4-hydroxyphenyl)methylene]-2H-indol-2-one in the treatment of tumors involving Met, PDGF-R, FGF-R1, FGF-R3 and Kit tyrosine kinases, or Ret oncoproteins.

**5 BACKGROUND ART**

RET/PTC oncogenes are involved in the transforming processes of human papillary thyroid tumors and originate from the rearrangement of the tyrosine kinase domain of proto-RET with different donor genes. The products of such gene rearrangements show ligand-independent tyrosine-kinase activity and are localized in the cytoplasm. Ret/ptc1 is the product of the RET/PTC1 oncogene, which originates from the rearrangement of the proto-RET tyrosine kinase domain with the H4/D10S170 gene.

Int. J. Cancer 85, 384-390 (2000) reports the tyrosine kinase activity inhibition of Ret/ptc1 oncoprotein by arylidene 2-indolinone compounds. The 1,3-dihydro-5,6-dimethoxy-3-[(4-hydroxyphenyl)methylene]-2H-indol-2-one derivative (hereafter "Cpd 1") is indicated as particularly effective in reverting the morphologic phenotype of NIH3T3 cells transformed with the RET/PTC1 oncogene. In the same paper, tyrosine kinase inhibition by arylidene 2-indolinone compounds is proposed for the study of Ret signaling and for controlling cell proliferation in Ret- and Ret/ptcs-associated diseases.

**DESCRIPTION OF THE INVENTION**

Cpd 1 has now been found to effectively inhibit tyrosine kinases, other than Ret/ptc1, that play a central role in tumor onset, progression and spreading to distant organs. Specifically, Cpd 1 has been shown to completely inhibit the autophosphorylation of Ret/MEN2A (mutations C634R and C634W), Ret/MEN2B (mutM918T), Met, PDGF-R, FGF-R1, FGF-R3 and Kit

**CONFIRMATION COPY**

(c-Kit and mutΔ559) tyrosine kinases, to reduce their expression (down-regulation) and to revert the phenotype of cells thereby transformed.

Object of the invention is therefore the use of the compound (E)-1,3-dihydro-5,6-dimethoxy-3-[(4-hydroxyphenyl)methylene]-2H-indol-2-one, or  
5 of its salts with pharmaceutically acceptable bases, for the treatment of tumors involving at least one of Met, PDGF-R, FGF-R1, FGF-R3 and Kit tyrosine kinases, or involving at least one oncoprotein of the Ret family, including Ret receptors carrying MEN2-associated mutations, in the initial stages of cell transformation or in the following stages of tumor proliferation and  
10 dissemination.

The invention also concerns the use of any stereoisomer or tautomeric form of Cpd.1.

Inhibition of deregulated, constitutively active, Ret receptors is useful in the treatment of sporadic medullary thyroid carcinomas (MTC) and MEN2-  
15 associated diseases including MTC, pheochromocytoma, parathyroid hyperplasia, and enteric ganglioneuromas.

Met inhibition is useful to antagonize the invasive/metastatic phenotype of tumors of epithelial origin. With respect to Met-activating alterations, Cpd 1 may also have a specific indication in the therapy of kidney tumors.

20 Kit inhibition is useful in the treatment of gastrointestinal stromal tumors, small cell lung carcinomas, seminomas and hematological malignancies such as mastocytosis and acute myelogenous leukemia.

The uncontrolled activation of PDGF-R and its involvement in autocrine loops support the therapeutic use of Cpd 1 in tumors unresponsive to  
25 conventional therapies, such as glioma and dermatofibrosarcoma protuberans. In addition, PDGF-R is involved in tumor angiogenesis and vascular development thus supporting the use of Cpd1 for the control of neoangiogenesis in solid tumors.

Cpd 1 can be used for the treatment of melanomas and gliomas expressing high levels of FGF-R1 and of the respective bFGF ligand eventually involved in autocrine loops. Since this receptor has an important role in angiogenic processes, its inhibition by means of Cpd 1 is useful for the control of tumor vascularization.

FGF-R3 inhibition is useful in the treatment of multiple myeloma, bladder and cervix carcinomas.

For use in therapy, RPI-1 and its salts can be formulated with pharmaceutically acceptable vehicles and excipients. The phenol function of Cpd 1 can be salified by treatment with suitable organic or inorganic bases. The pharmaceutical compositions can be administered by the oral, parenteral, sublingual or transdermic routes, preferably in the form of tablets, capsules, granules, powders, syrups, solutions, suspensions, suppositories, controlled release forms.

The compositions can be prepared with conventional techniques, using ingredients known in the art. The quantity of active principle can be varied depending on the severity of the disease, age of the patient, type and route of administration, but in general an amount of 0.1 to 1000 mg/Kg, preferably from 5 to 300 mg/Kg, more preferably from 20 to 200 mg/Kg, in single or multiple doses one or more times a day, is used.

#### DETAILED DESCRIPTION OF THE INVENTION

Ret oncoproteins carrying aminoacid substitutions which cause constitutive tyrosine kinase activity are involved in sporadic MTC and in the inherited type 2 Multiple Endocrine Neoplasia syndromes (MEN2A, MEN2B and Familial MTC), all characterized by the occurrence of MTC (Jhiang S.M. et al. Oncogene 19, 5590, 2000). Whereas in sporadic MTC RET mutations are somatic, in MEN2 patients RET mutations are present at the germline level. These mutations cause constitutive activation of the receptor without

modifying its localization at the cell membrane. The Ret oncoproteins used in this study involve Cys634 (indicated as Ret/MEN2A<sup>C634R</sup> and Ret/MEN2A<sup>C634W</sup>) or Met918 (indicated as Ret/MEN2B<sup>M918T</sup>), and represent the most frequently expressed Ret oncoproteins in MEN2A and MEN2B, respectively. The inhibitory effect of Cpd 1 has been demonstrated in murine cells transfected with the RET/MEN2A(C634R) gene (NIH3T3<sup>MEN2A(C634R)</sup> cells) and in the human medullary thyroid carcinoma cell lines TT and MZ-CRC-1, respectively characterized by the expression of Ret/MEN2A(C634W) and Ret/MEN2B(M918T) (Figures 1, 2). A reduction of the oncoprotein tyrosine-phosphorylation and expression is observed in these cell lines (Figures 1C and 2A). The inhibition of Ret/MEN2A and Ret/MEN2B receptor autophosphorylation by Cpd 1 is associated with an antiproliferative effect (Figures 1B and 2B). NIH3T3<sup>MEN2A(C634R)</sup> transfectants reverted their transformed phenotype following exposure to Cpd1 (Fig. 1A). A significant dose-dependent antitumor activity has been observed in nude mice xenografted with the TT tumor: after oral administration of a daily dose of 50-100 mg/Kg (twice a day), Cpd 1 treatment reached 80% tumor weight inhibition (TWI) without inducing toxicity (Fig. 3). The demonstrated pharmacological and biochemical efficacy of Cpd 1 in controlling the proliferation of MTC cells is particularly important considering the aggressiveness of such tumors and the inefficacy of conventional therapies.

Met, the hepatocyte growth factor receptor, is a protein tyrosine kinase involved in the invasive process characteristic of tumor progression and metastatic growth (Maulik G. et al. Cytok. Growth Factor Rev. 13, 41, 2000). Alterations such as mutations, overexpression or the involvement in autocrine loops are the cause of uncontrolled constitutive activation of the kinase. The uncontrolled kinase activity of Met is involved in the invasiveness of many tumors of epithelial origin. In papillary thyroid carcinomas Met is frequently

overexpressed. The results illustrated in Fig. 4 show a dose-dependent inhibition of Met autophosphorylation in the papillary thyroid carcinoma cell line TPC-1 treated with Cpd 1. Met protein levels are also reduced in treated cells.

5 Other receptor tyrosine kinases, such as PDGF-R (Rosenkranz S. and Kazlauskas A. Growth Factors 16, 201, 1999) and FGF-R1 (Powers C.J. et al. Endocr. Rel. Cancer 7, 165, 2000), which are involved either in autocrine loops or in neoangiogenic processes, have an important role in cancer growth. A deregulated activation of these receptors is observed in tumors unresponsive  
10 to conventional therapies, such as gliomas and melanomas. The results illustrated in Figures. 5 and 6 show a dose-dependent inhibition by Cpd 1 of receptor autophosphorylation induced by autocrine stimulation (Fig. 5A) or by exogenous ligand (Figures 5B and 6A). These effects are associated with a reduced receptor expression. Concentrations of Cpd 1 higher than 15  $\mu$ M  
15 cause full inhibition of PDGF-R phosphorylation.

Activating mutations of the FGF-R3 receptor tyrosine kinase such as chromosomal traslocations or point mutations produce deregulated, constitutively active, FGF-R3 receptors which have been involved in multiple mieloma and in bladder and cervix carcinomas (Powers C.J. et al. Endocr. Rel.  
20 Cancer 7, 165, 2000). The ability of Cpd 1 to down regulate both tyrosine autophosphorylation and expression of FGF-R3 (mutY373C) exogenously expressed in NIH3T3 transfectants is illustrated in Fig. 6B.

Kit tyrosine kinase is constitutively activated as a consequence of mutations or of its involvement in autocrine loops in different tumors such as  
25 small cell lung cancers, gastro-intestinal stromal tumors, seminomas and leukemias (Heinrich M.C. et al. J. Clin. Oncol. 20, 1692, 2002). As shown in Fig. 7, Cpd 1 inhibits the constitutive autophosphorylation and expression of the Kit ( $\Delta$ 559) mutant exogenously expressed in NIH3T3 cells (Fig. 7B). Such

inhibition is associated with reversion of the transformed morphologic phenotype of the transfected cells (Fig. 7A). In addition, Fig. 7C shows the dose-dependent inhibition by Cpd 1 of c-Kit activated through autocrine loop in the small cell lung carcinoma cell line N592.

5 As used herein, the term "tumor" is intended to encompass but is not limited to the abnormal cell proliferation of malignant or non-malignant cells of various tissues and/or organs such as muscle, bone or connective tissue, the skin, brain, lungs, sex organs, the lymphatic or renal systems, mammary or blood cells, liver, the digestive system, pancreas and thyroid or adrenal  
10 glands. The abnormal cell proliferation can include but is not limited to tumors of the ovary, breast, brain, prostate, colon, liver, lung, ovary, uterus, cervix, pancreas, gastrointestinal tract, head, neck, nasopharynx, skin, bladder, stomach, kidney or testicles, Kaposi's sarcoma, cholangiocarcinoma, choriocarcinoma, neuroblastoma, Wilms' tumor, Hodgkin's disease,  
15 melanoma, multiple myeloma, chronic lymphocytic leukemia and acute or chronic granulocytic lymphoma.

The compounds according to the present invention can be administered alone or in combination with other anti-tumor or anti-cancer agents including but not limited to: adriamycin, daunomycin, methotrexate, vincristin, 6-  
20 mercaptopurine, cytosine arabinoside, cyclophosphamide, 5-FU, hexamethylmelamine, carboplatin, cisplatin, idarubicin, paclitaxel, docetaxel, topotecan, irinotecan, gemcitabine, L\_PAM, BCNU and VP-16. The compounds according to the present invention can also be included in a kit for the treatment of tumors. The kit can include additional anti-cancer or anti-  
25 tumor agents.

The following Figures illustrate the invention in greater detail.

#### **Description of the Figures**

**Figure 1.** Effects of Cpd 1 on NIH3T3<sup>MEN2A(C634R)</sup> transfectants

expressing exogenous RET/MEN2A(C634R). A) Reversion of the transformed morphologic phenotype of NIH3T3<sup>MEN2A(C634R)</sup> cells. Cells were exposed to 6  $\mu$ M Cpd1 for 24h and then photographed under a phase-contrast microscope (original magnification X 100). B) Antiproliferative effect.

5 NIH3T3<sup>MEN2A(C634R)</sup> cells and the parental NIH3T3 cells were treated with increasing concentrations of the drug for 72h and then counted with a Coulter Counter. Dose-response curves, from which the reported IC<sub>50</sub> values were calculated, showed the higher sensitivity to the drug of the Ret oncoprotein-positive cell line. C) Inhibition of Ret/MEN2A(C634R) autophosphorylation

10 and expression. Cells were treated with solvent (-) or 10  $\mu$ M Cpd1 (+), for the indicated times. Whole cell lysates were prepared and subjected to SDS-PAGE and Western blotting with anti-pTyr antibody. After stripping, the filter was reblotted with anti-Ret antibody. Arrows indicate the partial and fully glycosylated forms of the Ret/MEN2A receptor. Following 2h of exposure to

15 the drug, the receptor appeared partially dephosphorylated. At longer times, a complete tyrosine dephosphorylation was associated with reduced expression of the receptor

**Figure 2.** Effects of Cpd1 on human MTC cell lines harboring MEN2-associated RET mutants. A) Inhibition of Ret autophosphorylation. TT and

20 MZ-CRC-1 cells, expressing respectively RET/MEN2A(C634W) and RET/MEN2B(M918T), were treated with the indicated concentrations of Cpd1, for 24 h. Control cells (C) received the solvent. Whole cell extracts were processed for Western blotting and probed with anti-pTyr and anti-Ret antibodies. As evidenced in anti-pTyr blots, cell treatment with the compound

25 induced a dose-dependent inhibition of tyrosine phosphorylation of Ret receptors in both cell lines. A reduced expression of receptor concentrations was observed in cells treated with the highest concentrations of the drug. B) Antiproliferative effect. TT and MZ-CRC-1 cells were treated with increasing



concentrations of Cpd 1 for 7 days and then counted with a Coulter Counter. Dose-response curves documented the ability of the drug to interfere with the proliferation potential of the two MTC cell lines.

**Figure 3.** Antitumor activity of Cpd 1 in nude mice harboring TT medullary thyroid carcinoma xenografts. Drug treatment started 25 days after s.c. inoculum of the tumor cells. Cpd 1 was delivered per os at 50 or 100 mg/Kg, twice in a day (2qd), for 10 consecutive days (indicated by arrows). Control mice received the vehicle. The treatment induced a significant dose-dependent inhibition of tumor growth. TWI were 60% ( $P < 0.005$ ) and 80% ( $P < 0.0005$ ) for the 50 mg/Kg and 100 mg/Kg doses, respectively.

**Figure 4.** Inhibition of Met autophosphorylation and expression in human papillary thyroid carcinoma cells (TPC-1) treated with Cpd1. A): TPC-1 cells were exposed to solvent (-) or the indicated concentrations of Cpd 1 for 72h. Equal amounts of protein were used for immunoprecipitation (IP) with anti-Met antibody or for the preparation of whole cell lysates (WCL). Immunoprecipitated proteins and WCLs were separated by SDS-PAGE, transferred to nitrocellulose membranes, and subjected to Western blotting with anti-pTyr or anti-Met antibodies. B): Cells were treated as in A. Cell extracts were immunoprecipitated with anti-pTyr antibody and probed with anti-Met antibody. C): Cells were serum-starved for 24h and exposed to solvent or Cpd1 (60  $\mu$ M) during the last 18h. Then they were left untreated (-) or stimulated with 20ng/ml HGF (+), for 10 min. Cell lysates were immunoprecipitated with anti-Met and probed with anti-pTyr or anti-Met antibody. Drug treatment abolished the constitutive or HGF-induced Met tyrosine phosphorylation and induced a reduction of Met expression.

**Figure 5.** Inhibition of PDGF-R autophosphorylation and expression in whole cells by Cpd 1. A): 2N5A cells (NIH3T3 transformed by the COL1A1/PDGFB rearrangement generating autocrine stimulation of PDGF-R)

were treated with Cpd 1 at the indicated concentrations, for 72 h. Cell extracts were immunoprecipitated with anti-PDGF-R and subjected to Western blotting with anti-pTyr or anti-PDGF-R antibodies. B): Swiss 3T3 cells were serum starved for 24 h and then treated with Cpd1 at the indicated concentrations, for 18 h. After stimulation with 1 nM PDGF for 5 min, whole cell lysates were prepared and subjected to Western blotting with anti-pTyr or anti-PDGF-R. Protein loading by anti-actin blot is shown. In both cell systems, a dose-dependent drug-induced inhibition of receptor tyrosine phosphorylation and expression was documented.

10 **Figure 6.** Inhibition of autophosphorylation and expression of FGF-R1 and FGF-R3 receptors in whole cells by Cpd1. A): Swiss3T3 cells were serum starved for 24 h and then treated with Cpd1 at the indicated concentrations, for 18 h. After stimulation with 100 ng/ml FGF for 5 min, whole cell lysates were prepared and subjected to Western blotting with anti-pTyr or anti-FGF-R1. 15 Protein loading by anti-actin blot is shown. B): NIH3T3 transformed by the FGF-R3 mutant Y373C were treated with the indicated concentrations, for 72 h. Cell extracts were immunoprecipitated with anti-FGF-R3 and then subjected to Western blotting with anti p-Tyr or anti-FGF-R3. In both cell systems, a dose-dependent inhibition by Cpd 1 of the receptor tyrosine 20 phosphorylation and expression was documented.

**Figure 7.** Effects of Cpd1 on cell lines expressing constitutively activated forms of Kit. A): Reversion of the transformed morphologic phenotype of NIH3T3 transfectants expressing exogenous mutated KIT ( $\Delta 559$ ). Cells were treated with 20  $\mu$ M Cpd1 and photographed 24h later 25 under a phase-contrast microscope (original magnification X 100). B): Inhibition of Kit ( $\Delta 559$ ) autophosphorylation and expression in NIH3T3 transfectants. Cells were treated with Cpd 1 at the indicated concentrations, for 72h. Cell lysates were immunoprecipitated with anti-Kit antibody and

subjected to Western blotting with anti-p-Tyr or anti-Kit antibodies. C):  
Inhibition of expression and autocrine loop-activated autophosphorylation of  
c-Kit in the SCLC cell line N592. Cells were treated with Cpd 1 at the  
indicated concentrations, for 24h. Whole cell lysates were subjected to  
5 Western blotting with anti-Kit antibody or with an antibody specifically  
recognizing the tyrosine phosphorylated/activated Kit (p-Kit). In both cell  
systems, a dose-dependent drug-induced inhibition of receptor tyrosine  
phosphorylation and expression was documented.

## MATERIALS AND METHODS

### 10 Cell lines and culture conditions

The following human medullary thyroid carcinoma (MTC) cell lines  
were used in this study. The TT cell line derived from a MEN2A-associated  
MTC characterized by expression of the RET oncogene carrying the mutation  
C634W. The MZ-CRC-1 cell line derived from MEN2B-associated MTC  
15 characterized by expression of the RET oncogene carrying the mutation  
M918T. TT cells were cultivated in Ham's F12 medium (BioWhittaker,  
Verviers, Belgium) supplemented with 15% fetal calf serum (FCS) (Life  
Technologies, Inc., Gaithersburg, MD) whereas MZ-CRC-1 cells were grown  
in Dulbecco's modified Eagle's medium (DMEM) (BioWhittaker)  
20 supplemented with 10% FCS. The human papillary thyroid carcinoma cell line  
TPC-1 which was used as a model of Met overexpression, was routinely  
cultivated in DMEM with 10% FCS. N592 cells, derived from a human SCLC,  
were characterized by Kit activation through autocrine stimulation by the SCF  
ligand. N592 cells were cultured in RPMI 1640 supplemented with 10% FCS.  
25 The mouse SWISS3T3 and NIH3T3 fibroblasts were cultured in DMEM with  
10% calf serum (Colorado Serum Company, Denver, CO). In addition,  
NIH3T3 cells transfected with different oncogenes were used. NIH3T3<sup>MEN2A</sup>  
transfectants express the short isoform of the RET-MEN2A (C634R)

oncogene; NIH3T3<sup>KIT $\Delta$ 559</sup> cells express KIT carrying the activating mutation  $\Delta$ 559 found in GISTs; NIH3T3<sup>FGFR3(Y373C)</sup> express the FGF-R3 gene carrying the activating mutation Y373C found in a human multiple myeloma cell line. 2N5A cells are NIH3T3 cells transformed by the COL1A1/PDGFB rearrangement generating autocrine stimulation of PDGF-R. All NIH3T3 transfectants were maintained in DMEM plus 5% calf serum in a 10% CO<sub>2</sub> atmosphere.

#### Antiproliferative assays

Cells were trypsinized after 3 days (NIH3T3 and NIH3T3<sup>MEN2A</sup> cells) or 7 days (MTC cells) of treatment with Cpd1 and counted by a Coulter Counter (Coulter Electronics, Luton U.K.). The concentrations able to inhibit cell proliferation by 50% (IC<sub>50</sub>) were calculated from the dose-response curves. Each experiment was performed in duplicate

#### Antibodies

The following polyclonal antibodies were used: anti-Ret recognizing a COOH-terminal sequence (aa 1000-1014) common to the two Ret isoforms (Borrello M. G., et al., Mol. Cell Biol. 16, 2151, 1996); anti-cKit, anti-Met and anti-FGF-R3 from Santa Cruz Biotechnology (Santa Cruz, CA); anti-actin from Sigma (St. Louis, MO); anti-PDGF-R  $\alpha/\beta$  from Upstate Biotechnology (Lake Placid, NY); anti phospho-cKit (Tyr 719) from Cell Signaling Technology (Beverly, MA). The mouse monoclonal anti-phosphotyrosine (anti-pTyr) 4G10 and anti-FGF-R1 antibodies were from Upstate Biotechnology.

#### Immunoprecipitation and Western blotting

For whole-cell extract preparation, cells were lysed in sodium dodecyl sulfate (SDS) sample buffer (62.5 mM Tris-HCl (pH 6.8), 2% SDS) with 1 mM PMSF, 10  $\mu$ g/ml pepstatin, 12.5  $\mu$ g/ml leupeptin, 100 KIU aprotinin, 1 mM sodium orthovanadate, 1mM sodium molybdate. Protein concentration was

determined in appropriately diluted aliquots by the bicinchionic acid (BCA) method (Pierce, Rockford, IL), then samples were adjusted to a final concentration of 10% glycerol, 5%  $\beta$ -mercaptoethanol, 0.001% bromophenol blue.

For immunoprecipitation experiments, cells were treated with Cpd1 or solvent for the indicated times. Cell monolayers were rinsed twice with cold phosphate-buffered saline plus 0.1 mM sodium orthovanadate and then left for 20 min on ice in lysis buffer (50mM HEPES pH7.6, 150mM NaCl, 10 % glycerol, 1% Triton X-100, 1.5 mM  $MgCl_2$ , 1 mM EGTA, 100 mM NaF, 10 mM sodium pyrophosphate, 10  $\mu$ g/ml antipain, 20  $\mu$ g/ml chymostatin, 10  $\mu$ g/ml E64, 1 mg/ml pefabloc SC). Cells were then collected, aspirated through a 22-gauge needle and centrifuged at 10000g, for 20 min, at 4 °C. Protein concentration was determined by the BCA reagent (Pierce). Cell extracts were incubated with protein A-agarose and the indicated antibody for 2 hr at 4°C under rotation. After washing 3 times with 20mM HEPES pH 7.6, 150 mM NaCl, 10% glycerol, 0.1% Triton X-100, the immunoprecipitates were eluted with complete sample buffer.

Normalized immunoprecipitates (0.5 – 4 mg of cell extracts) or whole-cell lysates (30 - 60  $\mu$ g) were resolved on SDS-PAGE and transferred to nitrocellulose filters. Membranes were incubated with primary antibodies at 4°C, overnight. Immunoreactive bands were revealed by horseradish peroxidase-coniugated anti-mouse or anti-rabbit antibodies using enhanced chemiluminescence detection systems from Amersham Biosciences (Little Chalfont, United Kingdom) or Pierce.

#### **In vivo studies**

All experiments were carried out using female athymic nude CD-1 mice, 8-11-weeks old (Charles River, Calco, Italy). Mice were maintained in laminar flow rooms with constant temperature and humidity. Experimental protocols were approved by the Ethic Committee for Animal Experimentation of the

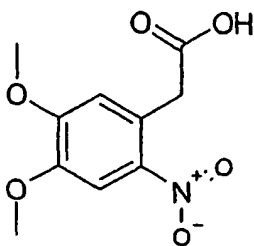
Istituto Nazionale per lo Studio e la Cura dei Tumori (Milan, Italy), according to the United Kingdom Coordinating Committee on Cancer Research Guidelines (Workman P. et al., British Journal of Cancer, 77, 1, 1998).

The MTC cells TT ( $1.6 \times 10^7$  cells) were s.c. inoculated in mice as a cell suspension from in vitro cell culture. Each control or drug-treated group included 8-10 tumors. Tumor cells were injected on day 0, and tumor growth was followed by biweekly measurements of tumor diameters with a Vernier caliper. Tumor weight (TW) was calculated according to the formula: TW (mg) = tumor volume ( $\text{mm}^3$ ) =  $d^2 \times D / 2$ , where d and D are the shortest and the longest diameter, respectively. Drug treatment started when tumors were just measurable (mean TW about 50 mg), 25 days after the inoculum of tumor cells. Cpd1 was dissolved in 5% ethanol, 5% Cremophor EL, 90% saline (0.9% NaCl) and delivered per os twice in a day (2qd) by a daily schedule for 10 days. Control mice received the solvent solution.

Drug efficacy was assessed as percentage TW inhibition (TWI% in drug-treated versus control mice expressed as:  $\text{TWI\%} = 100 - (\text{mean TW treated} / \text{mean TW control} \times 100)$ ). For statistical analysis, TW in control and treated mice were compared on the day of TWI% evaluation, by Student's t test (two-tailed). P values less than 0.05 were considered statistically significant.

Preparation of (E) 1,3-dihydro-4-hydroxybenzyliden-5,6-dimethoxy-(1H)-indol -2-one (Cpd 1).

1) Synthesis of 2-nitro-4,5-dimethoxyphenylacetic acid



$\text{C}_{10}\text{H}_{11}\text{NO}_6$ , M.W. 241,20

45 g (0.23 moles, 1 eq.) of 3,4-dimethoxyphenylacetic acid were dissolved in 100mL (2.2 volumes) glacial acetic acid at 28°C-35°C, under N<sub>2</sub> atmosphere and mechanic stirring. The solution was cooled to 15°-20°C and added with a mixture of fuming nitric acid (98%, 33 mL) in glacial acetic acid (25 mL) over a period of 45'. Once the addition was completed, precipitation of a red solid was observed. The suspension was poured in ice water (600 mL) and kept under stirring for 2h. The solid was filtrated, washed with water and dried at 60°C for 8h. 44g of the end product were obtained.

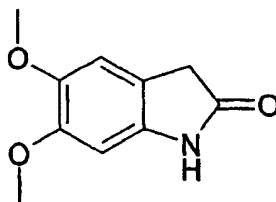
Yield 79.3% (mmol/mmol)

10 TLC (SiO<sub>2</sub>; Ethyl acetate 10/AcOH 0.5) R<sub>f</sub><sub>acid</sub> = 0.6; R<sub>f</sub><sub>product</sub> = 0.5

m.p. 199°-202°C

<sup>1</sup>H-NMR, (DMSO): 3.9 ppm (s, 6H); 4.0 ppm (s., 2H); 7.12 ppm (s., 1H); 7.7 ppm (s., 1H)

2) Synthesis of 1,3-dihydro-5,6-dimethoxy-(1H)-indol-2-one



15

C<sub>10</sub>H<sub>11</sub>NO<sub>3</sub>, M.W. 193,11

9.2 g (38.14 mmoles, 1 eq.) of 3,4-dimethoxy-2-nitro-phenylacetic acid were suspended in glacial acetic acid (92 mL, 10 volumes) at 25°C under N<sub>2</sub> atmosphere and mechanic stirring. The suspension was added with Fe<sup>0</sup> powder, 325 mesh, 97% (12.0g, 214.86 mmoles, 5.6 eq.) in two equal portions. The first portion was added at room temperature; then the mixture was refluxed and 30 min later the second Fe<sup>0</sup> portion was added. 30' later the reaction was complete, TLC (SiO<sub>2</sub>; CHCl<sub>3</sub> 9/MeOH 1), R<sub>f</sub><sub>nitro</sub> = 0.65, R<sub>f</sub><sub>indolinone</sub> = 0.71.

The grey suspension was cooled to room temperature, the acetic acid was evaporated under low pressure to a crude solid, which was suspended in chloroform (200 mL). The salts were filtrated off and the organic phase was washed with a NaCl saturated solution (100 mL), dried over Na<sub>2</sub>SO<sub>4</sub> and  
5 evaporated to dryness. The solid was suspended in ethyl ether (35 mL) for 30', filtrated and dried at 50°C for 2h. 6.7 g of a beige solid were obtained.

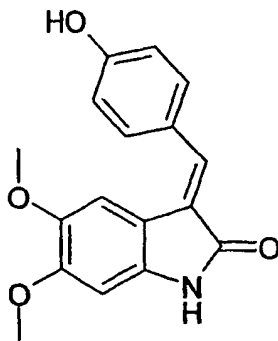
Yield 90.9% (mmol/mmol)

m.p. 199°-201°C

TLC (SiO<sub>2</sub>; Ethyl acetate 10/AcOH 0.5) R<sub>f</sub><sub>acid</sub> = 0.6; R<sub>f</sub><sub>product</sub> = 0.5

10 <sup>1</sup>H-NMR, (DMSO): 3.4 ppm (s, 2H); 3.69 ppm (s., 3H); 3.72 ppm (s., 3H); 6.49 ppm (s., 1H); 6.92 ppm (s., 1H); 10.15 (S., 1H).

3) Synthesis of (E)-1,3-dihydro-4-hydroxybenzyliden-5,6-dimethoxy-1H-indol-2-one



15

C<sub>17</sub>H<sub>15</sub>NO<sub>4</sub>, M.W. 297,31

6.7 g (36.9 mmols, 1 eq.) of 1,3-dihydro-5,6-dimethoxy-(1H)-indol-2-one were dissolved in anhydrous DMSO (50 mL) at room temperature. The solution was added with 4-hydroxybenzaldehyde (5.41 g, 44.3 mmols, 1.2 eq.) and piperidine (4.38 g, 44.3 mmols, 1.2 eq.), then stirred for 16 hours.  
20 The mixture was poured in H<sub>2</sub>O (250 mL) and HCl 0.5N (150mL), and precipitation of a solid was observed. The solution was cooled to 5°-10°C for 1 h, filtrated and dried under vacuum at 80°C for 2h. 13g of wet solid were



obtained and then crystallized from absolute ethanol, yielding 6.77g of end product.

Yield 61.6% (mmol/mmol)

m.p. 238°-240°C

5 R<sub>f</sub> (Silica; Ethyl acetate 100%) = 0.68

<sup>1</sup>H-NMR, (DMSO): 3.6 ppm (s, 3H); 3.8 ppm (s., 3H); 6.5 ppm (s., 1H);  
6.9 ppm (d., 2H, J=8.6 Hz); 10 ppm (broad s.); 12.8 ppm (s.).

The E configuration of the esocyclic double bond in position 2 was determined by means of 1D NOE NMR experiments.

**CLAIMS**

1. The use of the compound 1,3-dihydro-5,6-dimethoxy-3-[(4-hydroxyphenyl)methylene]-2H-indol-2-one or of non-toxic salts or isomers  
5 thereof for the preparation of a medicament for the treatment of tumors involving a tyrosine kinase selected from Met, PDGF-R, FGF-R1, FGF-R3, Kit, or an oncoprotein of the Ret family.
2. The use according to claim 1, for the treatment of tumors expressing RET oncoproteins carrying activating sequence mutations.
- 10 3. The use according to claim 2, wherein the RET oncoproteins include MEN2 associated mutations.
4. The use according to claim 3, wherein the activated sequence mutations are RET/MEN2A (C634R), RET/MEN2A (C634W) and RET/MEN2B (M918T).
- 15 5. The use according to claim 2-4, for the treatment of medullary thyroid carcinomas, pheochromocytoma, parathyroid hyperplasia, enteric ganglioneuroma.
6. The use according to claim 1, for the treatment of tumors bearing a Met-activating alteration.
- 20 7. The use according to claim 6, wherein said tumors are of epithelial origin.
8. The use according to claim 7, for the treatment of kidney tumor.
9. The use according to claim 1, for the treatment of tumors expressing constitutively-activated Kit.
- 25 10. The use according to claim 9, wherein Kit is constitutively activated following to sequence mutations or involvement in autocrine loops.
11. The use according to claim 9, for the treatment of gastrointestinal stromal tumors, small cell lung carcinomas, leukemias or seminomas.

12. The use according to claim 1, for the treatment of tumors involving the uncontrolled activation of PDGF-R.
13. The use according to claim 12, wherein said tumors are gliomas and dermatofibrosarcoma protuberans.
- 5 14. The use according to claim 1, for the treatment of tumors highly expressing FGF-R1 and/or its ligand bFGF.
15. The use according to claim 14, wherein said tumors are melanomas and gliomas.
16. The use according to claim 1, for the treatment of tumors expressing  
10 constitutive activating forms of FGF-R3.
17. The use according to claim 16, wherein said tumors are multiple myeloma, bladder and cervix carcinomas.
18. The use according to claims 12 and 14, for the inhibition of tumor angiogenesis.
- 15 19. A pharmaceutical composition containing as active ingredient the compound 1,3-dihydro-5,6-dimethoxy-3-[(4-hydroxyphenyl)methylene]-2H-indol-2-one or a pharmaceutically acceptable salt thereof in combination with a pharmaceutically acceptable carrier, excipient or diluent.
20. The pharmaceutical composition according to claim 19, wherein said  
20 pharmaceutically acceptable carrier or diluent is suitable for oral or parenteral administration.
21. The pharmaceutical composition according to claim 19, further comprising a anti-tumor or anti-cancer agent which is different from 1,3-dihydro-5,6-dimethoxy-3-[(4-hydroxyphenyl)methylene]-2H-indol-2-one.
- 25 22. The pharmaceutical composition according to claim 19, wherein said anti-tumor or anti-cancer agent is selected from the group consisting of adriamycin, daunomycin, methotrexate, vincristin, 6-mercaptopurine, cytosine arabinoside, cyclophosphamide, 5-FU, hexamethylmelamine, carboplatin,

cisplatin, idarubicin, paclitaxel, docitaxel, topotecan, irinotecam, gencitabine, Lpam, BCNU and VP-16.

23. A kit comprising, in separate containers, a compound 1,3-dihydro-5,6-dimethoxy-3-[(4-hydroxyphenyl)methylene]-2H-indol-2-one or a  
5 pharmaceutically acceptable salt thereof and an anti-cancer or anti-tumor agent.

24. The kit according to claim 23, wherein said anti-tumor or anti-cancer agent is selected from the group consisting of adriamycin, daunomycin, methotrexate, vincristin, 6-mercaptopurine, cytosine arabinoside,  
10 cyclophosphamide, 5-FU, hexamethylmelamine, carboplatin, cisplatin, idarubicin, paclitaxel, docetaxel, topotecan, irinotecam, gemcitabine, L-PAM, BCNU and VP-16.

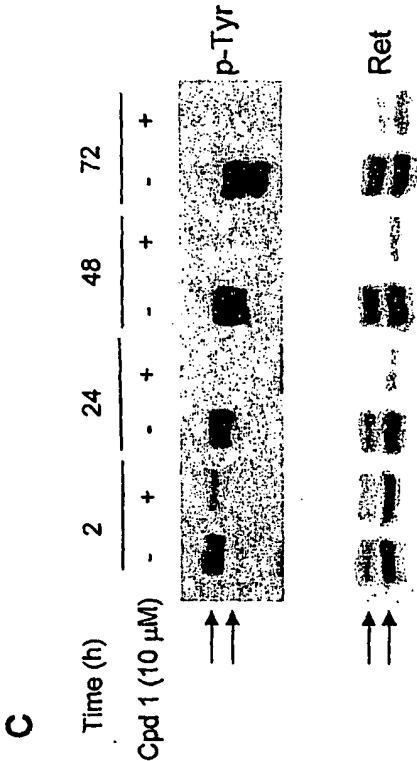
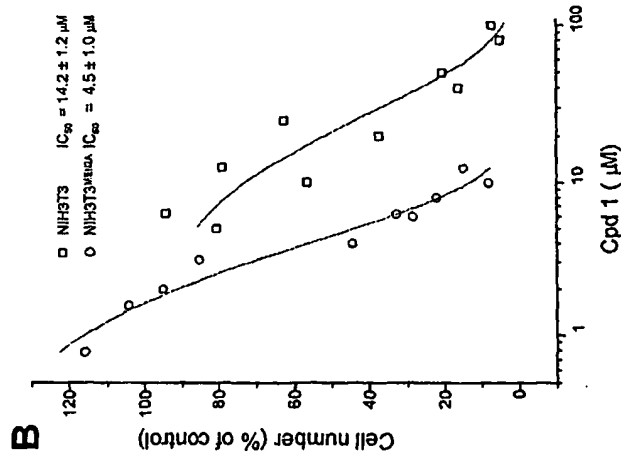
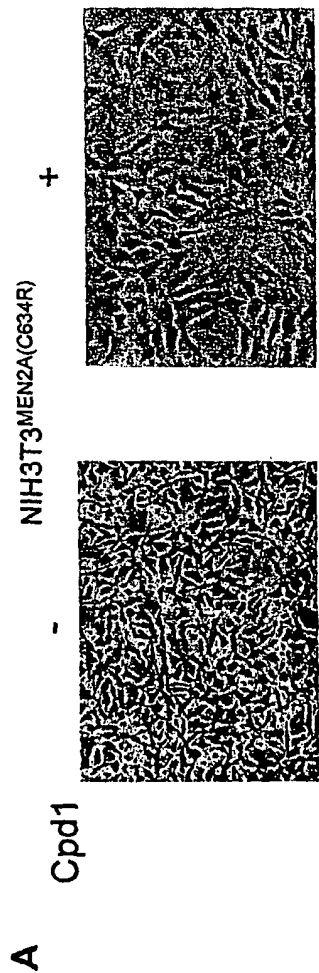
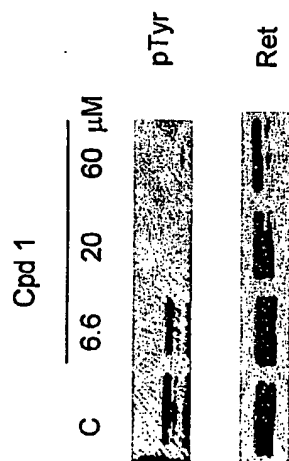


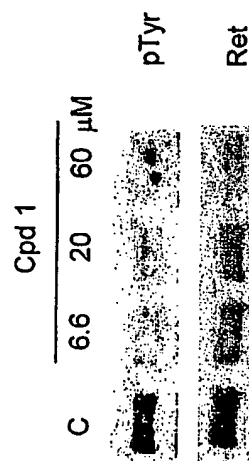
Fig 1

A

TT cells (RET/MEN2A)



MZ-CRC-1 cells (RET/MEN2B)



B

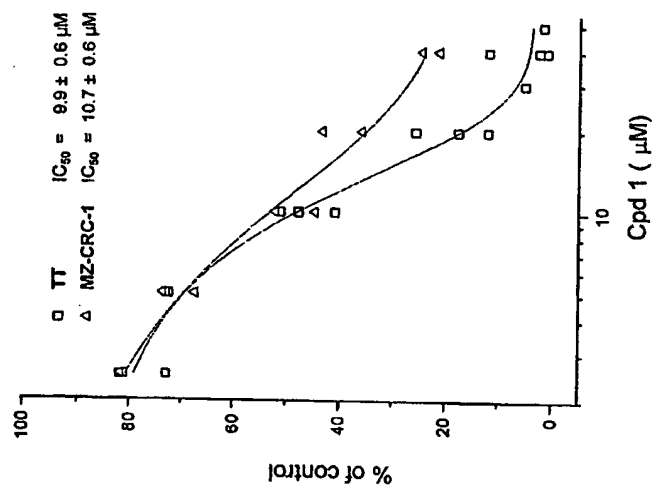


Fig 2

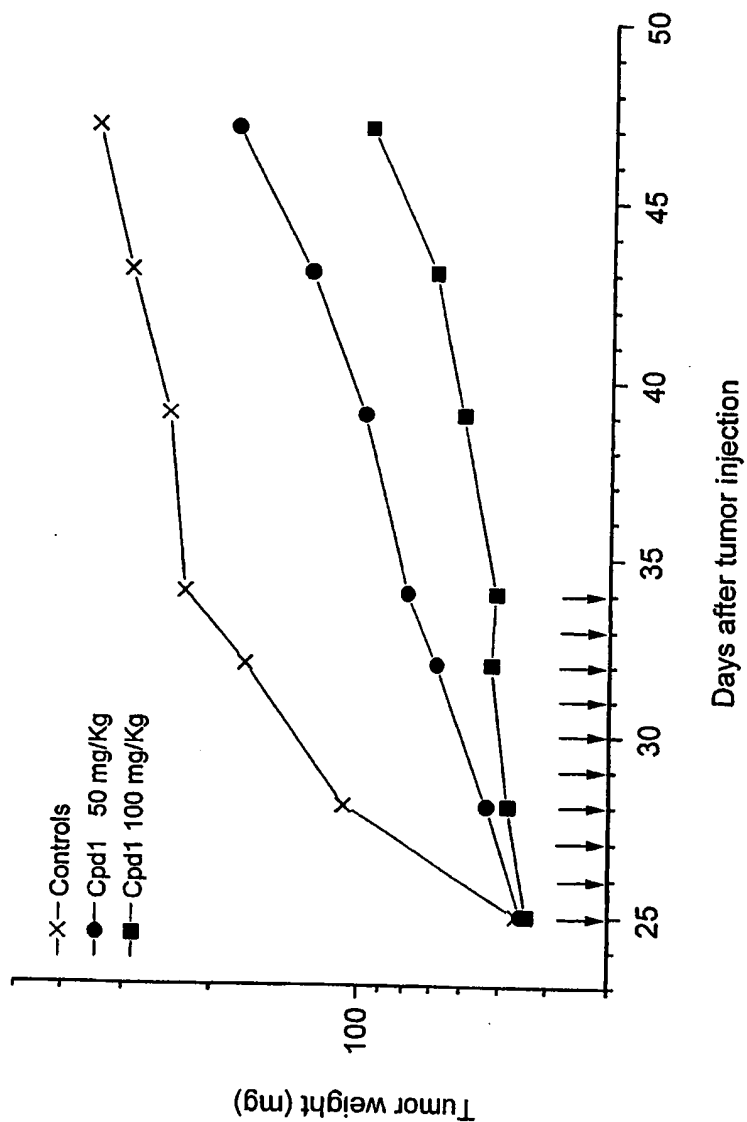
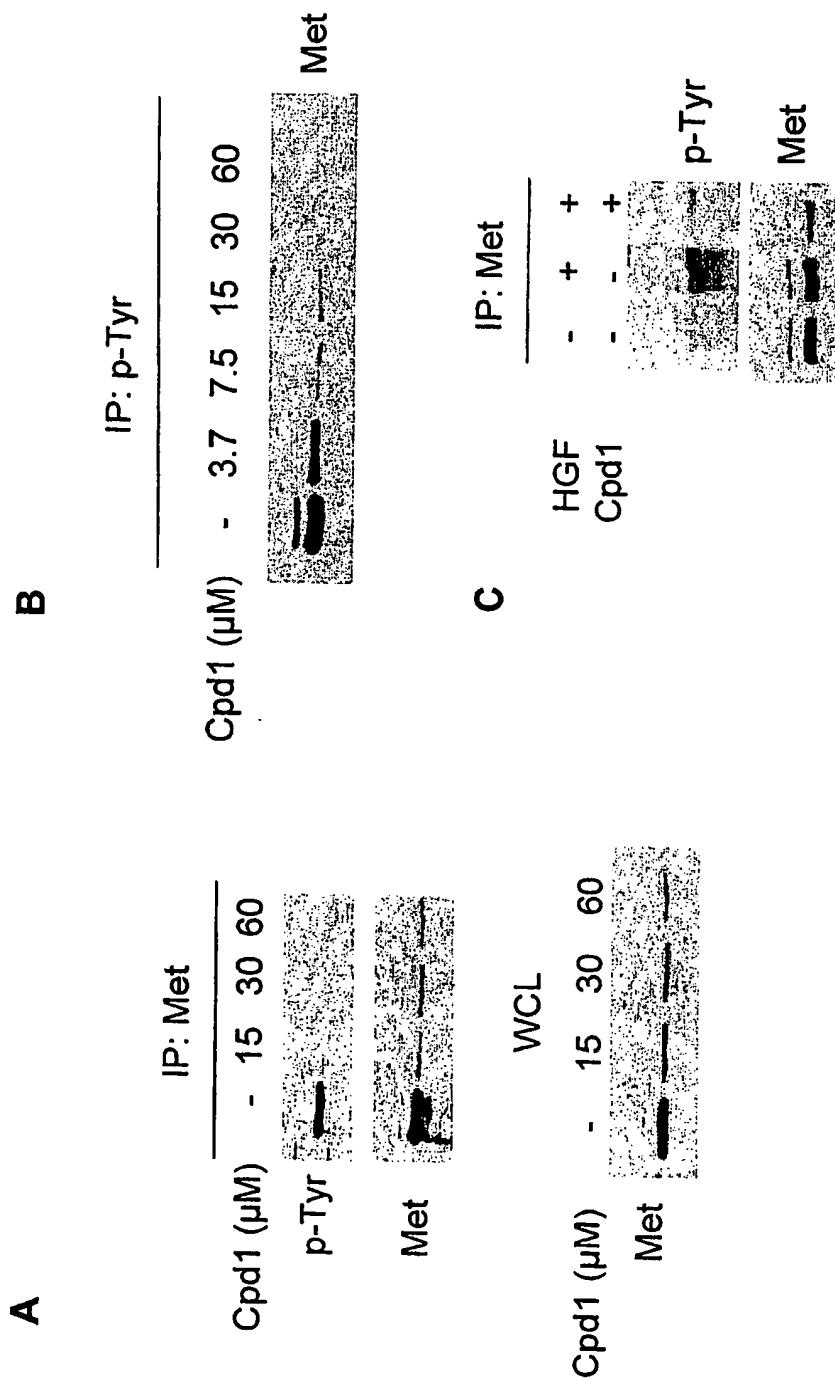


Fig 3





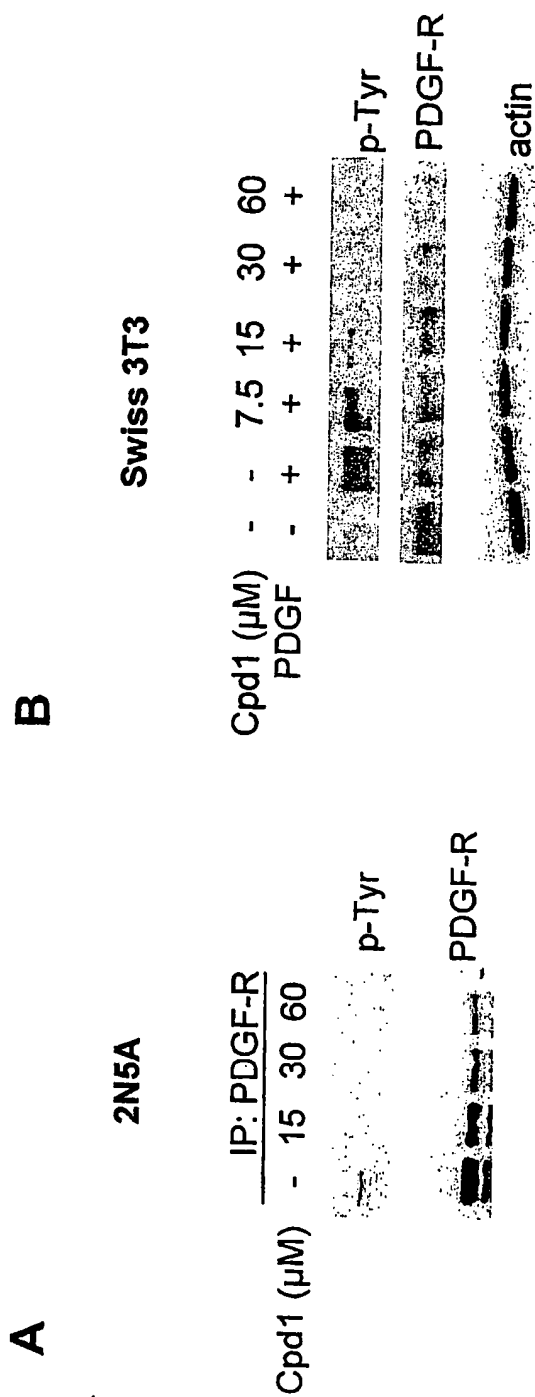


Fig 5

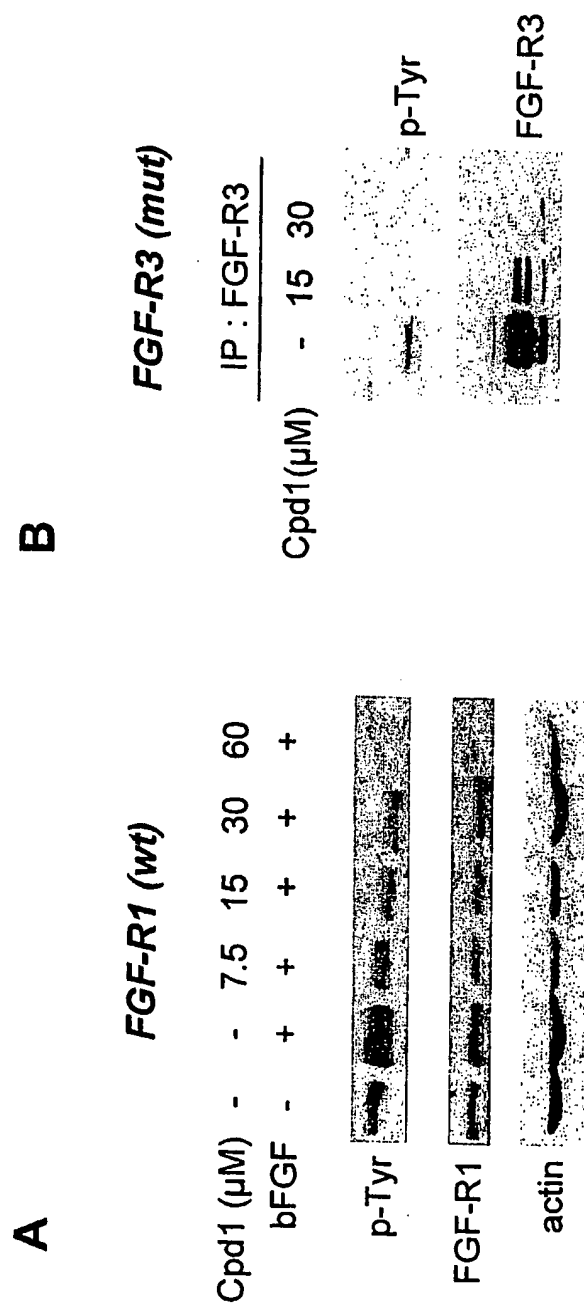


Fig 6

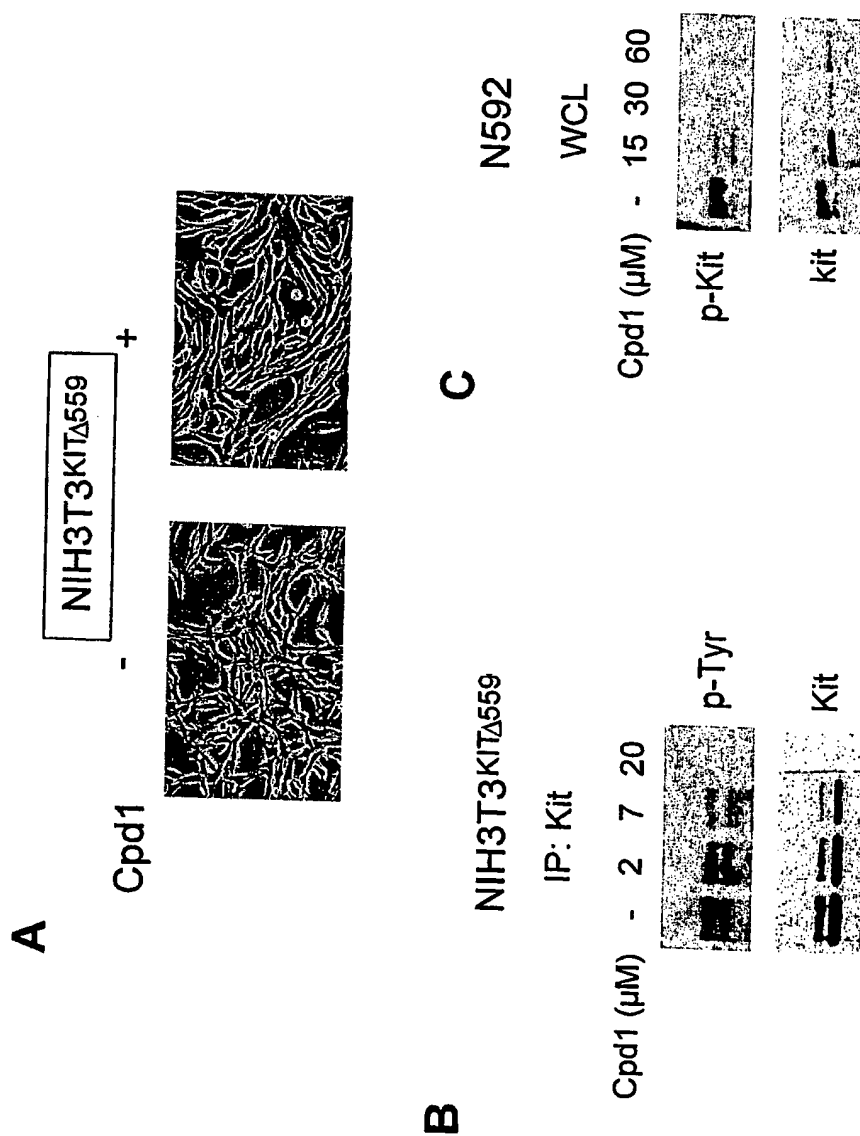


Fig 7

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 03/07963

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 A61K31/403 A61P35/00 A61P9/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, CHEM ABS Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	CINZIA LANZI AND ALL.: "Inhibition of transforming activity of the ret/ptc1 oncoprotein by a 2-indolinone derivative" INT.J.CANCER, vol. 85, 2000, pages 384-390, XP002257784 cited in the application the whole document	1-20
A	US 6 268 391 B1 (HARRIS PHILIP ANTHONY ET AL) 31 July 2001 (2001-07-31)	
A	US 6 130 238 A (TANG PENG CHO ET AL) 10 October 2000 (2000-10-10)	
A	US 6 133 305 A (TANG PENG CHO ET AL) 17 October 2000 (2000-10-17)	
	-/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*&\* document member of the same patent family

Date of the actual completion of the international search

15 October 2003

Date of mailing of the international search report

29/10/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel: (+31-70) 340-2040, Tx. 31 651 epo nl  
Fax: (+31-70) 340-3016

Authorized officer

Stienon, P

# INTERNATIONAL SEARCH REPORT

International Application No

PC/EP 03/07963

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6 147 106 A (HIRTH KLAUS PETER ET AL) 14 November 2000 (2000-11-14)	
A	SUAREZ H G: "Molecular basis of epithelial thyroid tumorigenesis" COMPTES RENDUS DES SEANCES DE L'ACADEMIE DES SCIENCES. SERIE III: SCIENCES DE LA VIE, ELSEVIER, AMSTERDAM, NL, vol. 323, no. 6, June 2000 (2000-06), pages 519-528, XP004330637 ISSN: 0764-4469	
A	SARASIN A ET AL: "Mechanisms of mutagenesis in mammalian cells. Application to human thyroid tumours" COMPTES RENDUS DES SEANCES DE L'ACADEMIE DES SCIENCES. SERIE III: SCIENCES DE LA VIE, ELSEVIER, AMSTERDAM, NL, vol. 322, no. 2-3, February 1999 (1999-02), pages 143-149, XP004270260 ISSN: 0764-4469	

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 03/07963

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 6268391	B1	31-07-2001	AU 9158498 A	16-03-1999
			EP 1003721 A1	31-05-2000
			JP 2002514228 T	14-05-2002
			WO 9910325 A1	04-03-1999
			ZA 9807037 A	07-02-2000
US 6130238	A	10-10-2000	US 6114371 A	05-09-2000
			AU 7684298 A	27-11-1998
			EP 0984930 A1	15-03-2000
			JP 2002511852 T	16-04-2002
			WO 9850356 A1	12-11-1998
			US 2002183370 A1	05-12-2002
			US 6051593 A	18-04-2000
US 6133305	A	17-10-2000	US 2001056094 A1	27-12-2001
			US 2001007033 A1	05-07-2001
			US 2002026053 A1	28-02-2002
			AU 7661498 A	27-11-1998
			EP 0984930 A1	15-03-2000
			JP 2002511852 T	16-04-2002
US 6147106	A	14-11-2000	WO 9850356 A1	12-11-1998
			US 2003108946 A1	12-06-2003
			US 2002022626 A1	21-02-2002

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS

☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☐ FADED TEXT OR DRAWING

☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☐ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**